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NOTICE OF ALLOWANCE AND FEE(S) DUE

25944 7590 10/22/2010

OLIFF & BERRIDGE, PLC P.O. BOX 320850

ALEXANDRIA, VA 22320-4850

EXAMINER HAGAN, SEAN P

ART UNIT PAPER NUMBER

2828 DATE MAILED: 10/22/2010

| ſ | APPLICATION NO. | ATION NO. FILING DATE FIRST NAMED I | | ATTORNEY DOCKET NO. | . CONFIRMATION NO. | |
|---|-----------------|-------------------------------------|-------------|---------------------|--------------------|--|
| • | 10/591 903 | 00/20/2006 | Daniel Konf | 120301 | 9707 | |

TITLE OF INVENTION: HIGH-REPETITION LASER SYSTEM FOR GENERATING ULTRA-SHORT PULSES ACCORDING TO THE PRINCIPLE OF CAVITY DUMPING

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$755 | \$0 | \$755 | \$755 | 01/24/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 1SI. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FFE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| INSTRUCTIONS: This appropriate. All further c indicated unless correcte maintenance fee notificat | correspondence includir d below or directed of | or tran | smitting the ISSU Patent, advance or in Block 1, by (a | | of ma | aintenance fees w ondence address; | ill be and/or | nailed to the current (b) indicating a sepa | correspo rate "FEI | ndence address as E ADDRESS" for |
|--|--|---|--|---|--|---|---|--|--|--|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) | | | | | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. | | | | | |
| 25944 OLIFF & BERI | | /2010 | | | hara | Cert | ificate | of Mailing or Trans | nission | d with the United |
| P.O. BOX 320850 ALEXANDRIA, VA 22320-4850 | | | | St ad tra | States Postal Service with sufficient postage for first class mai addressed to the Mail Stop ISSUE FEE address above, or transmitted to the USPTO (571) 273-2885, on the date indicate | | | ail in an envelope r being facsimile ted below. | | |
| | | | | | | | | | | (Depositor's name) |
| | | | | | | | | | | (Signature) |
| | | | | L | | | | | | (Date) |
| APPLICATION NO. | FILING DATE | | | FIRST NAMED INVENTOR | | | ATTORNEY DOCKET NO. CONFIRMATION | | | RMATION NO. |
| 10/581,893 | 09/29/2006 | | | Daniel Kopf | | | | 120391 | | 8707 |
| TITLE OF INVENTION OF CAVITY DUMPING | : HIGH-REPETITION | LASE | R SYSTEM FOR | | | HORT PULSES | ACCO | RDING TO THE PR | INCIPLE | |
| APPLN, TYPE | SMALL ENTITY | IS | SUE FEE DUE | PUBLICATION FEE DUI | E : | PREV. PAID ISSUE F | | FEE TOTAL FEE(8) DUE | | DATE DUE |
| nonprovisional | YES | | \$755 | \$0 | | \$755 | | \$755 | | 01/24/2011 |
| EXAMI | NER | | ART UNIT | CLASS-SUBCLASS | s | | | | | |
| HAGAN, | SEAN P | | 2828 | 372-012000 | | | | | | |
| 1. Change of correspondence address or indication of "Fee Address" (3 CFR 1.363). Change of correspondence address (or Change of Correspondence Address from PTO/SB/122 lattached. "Tree Address" indication (or "Fee Address" Indication form PTO/SB/122 lattached. Use of a Cassom Number is required. | | | Correspondence ation form e of a Customer | or agents OR, alterna (2) the name of a sin registered attorney o 2 registered patent at listed, no name will b | s of up to 3 registered patent attorneys s, alternatively, of a single firm (having as a member a torney or agent) and the names of up to torney or agent. If no name is | | | | | |
| (A) NAME OF ASSIC | ess an assignce is ident in 37 CFR 3.11. Comp BNEE | ified be | elow, no assignee of this form is NO | data will appear on the T a substitute for filing a (B) RESIDENCE: (CIT | pat an as TY a | ent. If an assigne ssignment. and STATE OR C | OUNT | RY) | | |
| Please check the appropri | ate assignee category or | catego | ries (will not be pr | inted on the patent): | U I | Individual UCo | rporati | on or other private gro | up entity | Government |
| Advance Order - # | o small entity discount p | | ed) | D. Payment of Fee(s): (PI A check is enclosed Payment by credit of The Director is here overpayment, to De | d. card. eby a | Form PTO-2038 | is atta | ched. equired fee(s), any de | iciency. | |
| | SMALL ENTITY state | ıs. See | 37 CFR 1.27. | ☐ b. Applicant is no k | | | | | | |
| NOTE: The Issue Fee and interest as shown by the re | Publication Fee (if req ecords of the United Sta | uired) v tes Pat | will not be accepted ent and Trademark | d from anyone other than Office. | ın the | e applicant; a regis | stered a | ttorney or agent; or th | e assigne | e or other party in |
| Authorized Signature | | | | | | Date | | | | |
| Typed or printed name | | | | | | Registration N | o | | | |
| This collection of informa an application. Confidenti submitting the completed this form and/or suggestic Box 1450, Alexandria, Vi Alexandria, Virginia 2231 | ation is required by 37 C iality is governed by 35 application form to the ons for reducing this bu irginia 22313-1450. DC (3-1450. | FR 1.3 U.S.C. USPT rden, sl D NOT | 11. The informatic . 122 and 37 CFR O. Time will vary hould be sent to th SEND FEES OR | on is required to obtain on 1.14. This collection is a depending upon the ince Chief Information OfficompleTED FORMS | or rel estir divid ficer, TO | tain a benefit by the mated to take 12 n dual case. Any con , U.S. Patent and ' THIS ADDRESS. | ne publ ninutes mment Fraden . SENI | ic which is to file (and to complete, includin s on the amount of tir ark Office, U.S. Depo O TO: Commissioner | by the U g gatherine you re rtment of or Patent | SPTO to process) ng, preparing, and quire to complete Commerce, P.O. s, P.O. Box 1450, |

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PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.



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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

COMMISSIONER FOR PATENTS P O Box 1450 Alexandra, Virgima 22313-1450 www.uspto.gov

| APPLICATION NO. | APPLICATION NO. FILING DATE FIRST NAMED INVE | | ATTORNEY DOCKET NO. | CONFIRMATION NO. | |
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| 10/581,893 09/29/2006 | | Daniel Kopf | 120391 | 8707 | |
| 25944 7590 10/22/2010 | | | EXAMINER | | |
| OLIFF & BERF | IDGE, PLC | | HAGAN | , SEAN P | |
| P.O. BOX 320850 | | | ART UNIT | PAPER NUMBER | |
| ALEXANDRIA, | VA 22320-4850 | 2828 | | | |

DATE MAILED: 10/22/2010

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 0 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 0 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability

| Application No. | Applicant(s) | |
|-----------------|--------------|--|
| 10/581,893 | KOPF ET AL. | |
| Examiner | Art Unit | |
| SEAN HAGAN | 2828 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative

- of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.
- 1. This communication is responsive to information disclosure statement received 17 September 2010.
- The allowed claim(s) is/are 1-10 and 12-23.
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) \square All b) ☐ Some* c) ☐ None of the:
 - 1. T Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No.
 - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) hereto or 2) to Paper No./Mail Date
 - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6.

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. | Notice of References Cited (PTO-892)
- Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08).
- Paper No./Mail Date 17 September 2010 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- ☐ Examiner's Amendment/Comment
- 8. X Examiner's Statement of Reasons for Allowance
- 9. ☐ Other .

/Minsun Harvey/

Supervisory Patent Examiner, Art Unit 2828

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DETAILED ACTION

1. Claims 1 through 12 originally filed 6 June 2006. Claims 1 through 11 presented as amended sheet of claims 6 June 2006. Claims 5, 6, 7, 9, 10, and 11 amended by second amendment filed 6 June 2006. Claims 1 through 10 amended by amendment filed 5 July 2006. Claim 11 cancelled by amendment filed 5 July 2006. Claims 12 through 19 added by amendment filed 5 July 2006. Claims 1 through 10 and 11 through 18 amended by amendment received 13 February 2009. Claim 20 added by amendment received 13 February 2009. Claim 1 amended by amendment entered with RCE received 17 August 2009. Claims 1 through 10 and 12 through 23 are pending in this application.

Allowable Subject Matter

- 2. Claims 1 through 10 and 12 through 23 allowed.
- 3. The following is an examiner's statement of reasons for allowance:
- 4. Claim 1 is independent and describes a high power pulsed laser. Specifically of note, this claim requires that the resonator include a device for performing cavity dumping so as to extract the power from the resonator and a saturable absorber mirror so as to achieve a short pulse. It is required that the claimed device generate pulses in the femtosecond or picosecond range at a repetition rate greater than 10kHz and with a peak power greater than 100kW. Of note is Dahm (US Patent 5,848,080) which

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discloses a high power output laser that uses cavity dumping. Dahm does not, however, utilize a saturable absorber so as to achieve a short pulse duration and has a peak power less than half that required by the claimed invention. Delfyett (US Patent 5,265,107) is noted for having an electro-absorption modulator that can achieve very short pulse durations, however the absorber of Delfyett is used in a system of significantly lower power than Dahm to the extent that problems not contemplated by Delfyett would need to be addressed before any combination could be made.

- 5. Of further note is Reiger et al. (Reiger, US Patent 5,790,574) wherein a laser pulse is amplified in a cavity dumped resonator at 12 kHz, however the output power is significantly less than that required by the claim.
- 6. Of further note is Paschotta et al. (Paschotta, US Patent 6,834,064) which uses a thin disk laser mode locked with a semiconductor saturable absorber mirror that provides short pulses and high average power. However, all power levels discussed by Paschotta are significantly below the required power level of the claimed invention.
- 7. Of further note is Furbach et al. (Furbach, US Patent 6,807,198) which uses a saturable absorber that can achieve short pulse durations, however no output power levels are discussed and there is no indication that the saturable absorber of Furbach would be capable of operating at the required power level of the claimed invention.
- 8. As such, claim 1 is allowed.
- Claims 2 through 10 and 12 through 23 all properly depend from claim 1 and, as such, are allowable for the reasons provided above.

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273-8300

10. Any comments considered necessary by applicant must be submitted no later

than the payment of the issue fee and, to avoid processing delays, should preferably

accompany the issue fee. Such submissions should be clearly labeled "Comments on

Statement of Reasons for Allowance."

Conclusion

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to SEAN HAGAN whose telephone number is (571)270-1242. The examiner can normally be reached on Monday-Friday 7:30 - 5:00.

12. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Minsun O. Harvey can be reached on 571-272-1835. The fax phone number for the organization where this application or proceeding is assigned is 571-

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13. Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/S. H./

Examiner, Art Unit 2828

/Minsun Harvey/

Supervisory Patent Examiner, Art Unit 2828